

INFORMATION PROVIDED BY THE CLIENT IN RELATION TO THE PREVENTION OF MONEY LAUNDERING ACT, 2002

Name of the Client : _____

If Business / Profession : Nature of Business : _____ Industry : _____

Details of the relatives / group company, having account with Probulls Stockbroking Private Limited (PSPL) :

	Name	Relationship	UCC
1.			
2.			
3.			
4.			

Details of the Corporate / Partnership Firm / Trusts etc. where Client is affiliated

	Name	Equity Type	Nature of Business	Relationship	UCC
1.					
2.					
3.					
4.					

I / We hereby submit and agree to submit every year following documents to PSPL, before the due date as prescribed by PSPL.

1. Profit and Loss Account & Capital Account
2. Balance Sheet
3. Shareholding Pattern
4. Networth Certificate
5. Self attested copy of Income Tax Return (If return not available, please furnish Form 16)

I / We confirm that I will immediately inform Probulls Stockbroking Private Limited in case I am convinced under any grounds or any action is taken against me/us by any authority(ies).

I/We hereby declare that I/We do not fall under the Clients of Special Category as defined in Prevention of Money Laundering Act, 2002, or

I/We declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act, 2002 (choose the relevant category as under) :

☐ Non-Resident Client
 ☐ High net-worth Clients
 ☐ Trusts, Charities, Non-Government Organisation (NGOs) and organizations receiving donations
 ☐ Companies having close family shareholdings or beneficial ownership
 ☐ Politically exposed Persons
 ☐ Companies offering foreign exchange offerings
 ☐ Clients in high risk countries where existence / effectiveness of money laundering controls is suspect etc.
 ☐ Non face to face client
 ☐ Clients with dubious reputation as per public information available etc.

I/We intend to invest in the Stock market with : ☐ Own Funds ☐ Borrowed Funds

If Borrowed Funds, then please specify Sources of funds :

Sources	Amount (Rs.)

Certificated / Opinion Report from the Banker / Financial Institution confirming that there has been no default in the client's account to be attached.

I / We hereby declare that I/We am/are beneficial owner of the Trading/On-line account opened with PSPL.

Client's Signature

Client's Name

**FOR OFFICE
USE ONLY**

RISK CATEGORY: ☐ High ☐ Medium ☐ Low